



**MALTA STOCK EXCHANGE
INSTITUTE**

**TOWARDS
A BRIGHTER
FUTURE**



Introduction to the Market Abuse Regulation

Course No 139

This course will provide a high-level overview of the Market Abuse Regulation and will serve as an introduction for anyone unfamiliar with the Regulation. The course will include an overview of the three offences of insider dealing, unlawful disclosure of inside information, and market manipulation, the various obligations imposed on market participants by the Regulation, as well as central key themes such as inside information. Participants will also be given a general understanding of the MFSA's oversight function in respect of market abuse and applicable sanctions. Practical examples will be provided throughout the course to better illustrate the issues being discussed.

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Sample Topics Covered:

Rationale behind the prohibition of market abuse

Scope of Market Abuse Regulation

Explanation of 'inside information' as a key theme

Offences of insider dealing, unlawful disclosure of inside information, and market manipulation

Positive obligations including the duty to disclose inside information, the duty to keep insider lists, reporting of managers' transactions, and the prevention and detection of market abuse

MFSA's oversight function

Sanctions and applicable Maltese laws

General Information

Time: 09.00 - 12.00hrs (March)
13.00 - 16.00hrs (June)

Venue: Online

Level: Introductory

Schedule

Duration: 3 hours

Dates: 22 March
 14 June

Target Audience

All executives of financial service organisations, compliance officers, lawyers, auditors and consultants and CSPs.

Registration fee: **€95 per participant**

- Full time student (50% discount)
- Senior citizen (50% discount)
- Group booking of 4 or more applicants from the same Organisation (10% discount)

Applications are to be made by clicking the **'apply now'** button and completing the online application process.

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Lecturer

Dr Beppe Degiorgio



Beppe Degiorgio is an associate in Ganado Advocates' capital markets practice, regularly advising major market participants such as issuers, CSDs and trading venues on a variety of matters. Apart from assisting with large transactions such as primary debt issuances, IPOs, secondary offerings and block trades – both on local as well as foreign exchanges – Beppe also assists clients with day- to-day compliance and corporate governance matters. In addition, Beppe also advises on securitisation and other structured finance transactions, assisting originators, issuers, arrangers, trustees and other market participants alike. Beppe is well versed in company law, civil law, as well securities regulation generally, and is often invited to deliver lectures and training sessions on market abuse.

Cheques to be made payable to: Malta Stock Exchange Institute Ltd.

Payments by bank transfer

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This application is to be accompanied by payment or proof of payment by bank transfer.